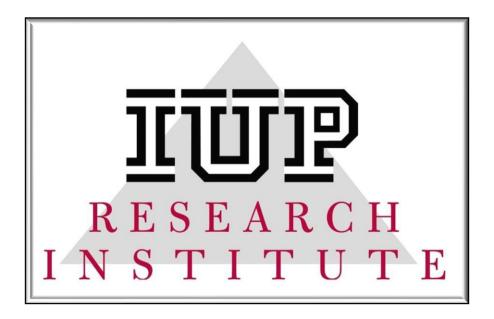
Indiana University of Pennsylvania Fiscal Year 2023-2024

Annual Grantsmanship Report



Externally Sponsored Awards July 1, 2023 – June 30, 2024

IUP Research Institute
110 Stright Hall
Indiana, PA 15705
http://www.iup.edu/researchinstitute

Who or what is the IUP Research Institute and what, exactly, is our role? We are YOUR "Office of Sponsored Research" and work collaboratively with key offices and IUP personnel. IUP administration created the Research Institute in 2004 to help facilitate and grow the sponsored research activity on campus. We were created BY you FOR you!

The Mission of the IUP Research Institute is to advance the research agenda and educational objectives of Indiana University of Pennsylvania and partner institutions in the PASSHE and to promote greater collaborations across the system. The Research Institute provides a full range of pre- and post-award services designed to provide support for University researchers. The Research Institute is governed by an independent Board of Directors which is responsible for upholding the Institute's Articles of Incorporation, By-Laws, and the principles set forth in the Affiliation Agreement with the University. The Board is composed of appointed University representatives and local community business leaders.

Indiana University of Pennsylvania and the IUP Research Institute jointly administer external funds. The IUP Research Institute is a 501c (3) organization that operates independently for the benefit of Indiana University of Pennsylvania, under the terms of the Affiliation Agreement.

The team of 10 individuals at the Research Institute, collectively, hold a myriad of professional certifications and expertise and hold membership in professional organizations. We have individuals who focus primarily on the pre award (identifying funding opportunities, developing proposals and budgets, managing the review and approval processes, and navigating a variety of submission portals and processes), post award (contract review and negotiations, budget modifications, no cost extensions, purchasing and travel regulations, technical and fiscal reporting, and award closeout. Our team consists of Certified Research Administrators (with a focus on pre, post, and fiscal research administration) and we attend and actively participate in professional organizations like the Society for Research Administrators, the National Council of University Research Administrators, the National Organization for Research Development Professionals, and the University Connected Research Foundation.

In addition to serving as IUP's Office of Sponsored Research, the IUP Research Institute provides these same services to sister PASSHE institutions, Commonwealth University and Pennsylvania Western University. Our primary goal of these partnerships is to connect researchers from across the State (or at least these 7 campuses) and facilitate collaborative grant proposals.

The RI works diligently to ensure proposals submitted are of the highest possible quality, while adhering to standard operating procedures. The RI helps to ensure the proposal meets agency guidelines, meets applicable federal, state, and university pre-requisites, requires reasonable university support, and that the budget and scope of work are consistent, and have been appropriately reviewed and authorized by IUP and IUPRI administrative entities.

When appropriate (and as time permits), the School of Graduate Studies and Research (SGSR) provides an in-depth review/edit of proposal narratives to help ensure the highest possible quality. For "significant projects," professional external reviewers are utilized and paid for by the SGSR. These efforts have greatly improved the quality of our proposals and the grant-writing expertise of our researchers. It is fully expected that we will see a long-term return on investment as these researchers continue to grow and succeed in grantsmanship activities.

To promote post-award success, upon award receipt, the RI facilitates a "kick-off" meeting with the Principal Investigator to discuss and review the mechanics of how to efficiently execute the scope of work. Compliance with federal, state, and university policies and regulations are emphasized. These meetings may include members from administration, grants accounting, purchasing, human resources, and any other areas pertinent to successful outcomes. These "kick-off" meetings assist the researcher in understanding basic requirements such as purchasing equipment, grant-funded graduate assistantships, and processing summer compensation or academic year releases.

Staying up to date with federal, state, and other agency compliance requirements for sponsored activities is one of the most important responsibilities of the RI. To assist IUP in this function, the RI requires staff to participate in at least one professional organization conference per year. Partnering with the SGSR, the RI assisted in establishing standard operating procedures in areas such as technology transfer, research misconduct, intellectual property, and other related areas.

The Research Institute team is committed to continuous improvements to ensure researchers receive the most efficient and compliant assistance with their research endeavors. Policies, procedures, and workflow are under continual review and are modified as needed to accommodate changes to the Uniform Guidance and best practice initiatives.

Events in support of research:

- PI Mentorship Academy (a researcher bootcamp!)
- Various workshops, presentations, and trainings, including student presentations (F2F or virtual)
 - None scheduled? Just ask us!!
- Annual Deans' breakfast
- Annual Researchers' Reception and the Crimson Cup competition
- Co-host Research Appreciation Week with School of Graduate Studies & Research
- Participation in New Faculty Orientation, Council of Chairs, Council of Deans, and departmental/faculty meetings

"Research is the process of going up alleys to see if they are blind." – Marston Bates

External funding represents additional resources to IUP, our researchers (faculty and staff), our students, and to the larger community to include Indiana County, the State of Pennsylvania, and well beyond. Research done at or by its researchers impacts communities world-wide including Germany, Honduras, China, Guatemala, Mallorca, and Taiwan. IUP is one of only 93 public universities designated as a R2 by the Carnegie Foundation for the Advancement of Teaching and this past year reaffirms our place in this prestigious club. How did IUP make this success a reality? At the core of our research infrastructure and successes are the exceptional faculty, staff, and students who work diligently to write proposals and successfully manage the post award activities and deliverables. Research really is part of our DNA at IUP!

As you'll see in the data presented within this report, the biggest increase was in federal funding. This is significant as federal awards provide the largest amount of indirect funds back to IUP. These are the funds we use to provide match support for new proposals, fund research incentives like equipment maintenance, travel and internal grant support, research appreciation week, library resources, the Office of Undergraduate Research, Scholars Forum, U-SOAR, and many other much needed resources.

As with anything, growth requires a healthy, fertile environment and an investment in time and resources. This is where the research infrastructure at IUP excels. The unique and robust infrastructure allows researchers to flourish – the Research Institute, School of Graduate Studies and Research, IUP Grants Accounting, Undergraduate Research Office, the Libraries, and the academic leadership (deans, Provost, President) team together provides support and guidance to our researchers. At IUP, we believe the researchers should have the support needed to ensure their success – we work diligently, behind the scenes, to allow them to have time and resources needed to complete their deliverables knowing there are experts to help ensure overall compliance with purchases, invoicing, fiscal reporting, and financial accountability. That's our job!

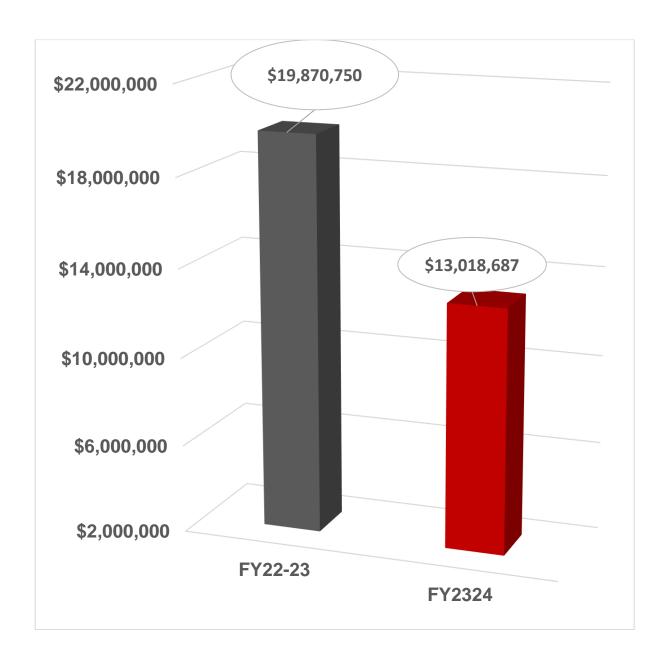
A strong dedication to scholarly growth, academic excellence, and a renewed focus on student success have been key to this year's growth and success. These commitments have proven remarkable returns on our investments. THANK YOU to all the researchers, research team members, leadership, and students who have helped make this year so memorable.

This Annual Report of Externally Sponsored Activities summarizes externally funded grants, contracts, and cooperative agreements for the year of **July 1, 2023 – June 30, 2024** (**Fiscal Year 2023-2024**). This report is designed to recognize IUP faculty and staff members who dedicate their time, talents, and expertise to seek and receive external funds for their research, teaching, and creative activities. These accomplishments represent the outstanding commitment on the part of faculty and staff to advance the research, teaching, and public service missions of the University through external funding.

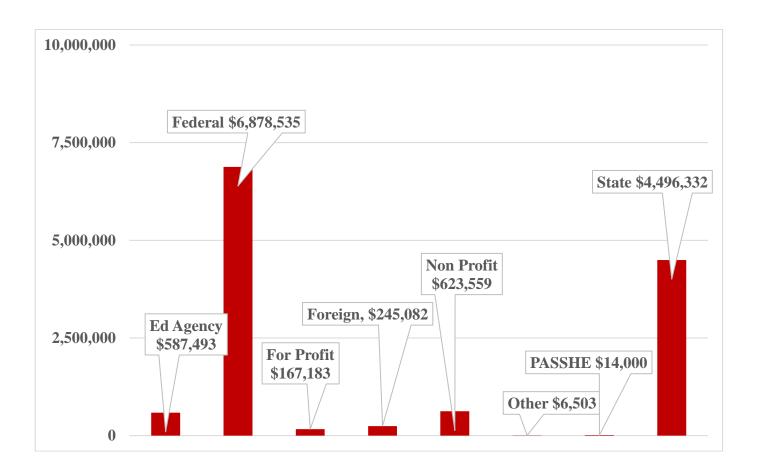
This report is sectioned by award data, expenditure data, and proposal data. Note that expense data transcends multiple fiscal years and is dependent upon the period of performance and spending plans of each individual grant project. Many times, even with effective post-award management, activities performed differ from activities planned at the proposal stage. Changes in activities often result in modifications to the budget and expenses incurred. When these changes occur, the dollar amounts actually expensed can differ from the award expectations. In the case of cost-reimbursable grants (large majority), the dollar amounts actually expensed and received by the institution could be less than what was awarded by the funding agency (at least in the time frame covered by this report). In addition, award dollars are not 'split' by or among co-investigator(s). Therefore, the department/unit of co-investigators are not recognized in this report. Alternate reports are available upon request.

A multitude of offices, divisions, people, and efforts contribute to the success of research endeavors at IUP. Any comments, questions, or requests regarding this report should be directed to Dr. Tracy Eisenhower, Executive Director at tracye@iup.edu or 724-357-2223.

IUP Sponsored Activity Awards: 2-year comparison FY2022-FY2023 to FY2023-FY2024



Awards by Originating Funding Source

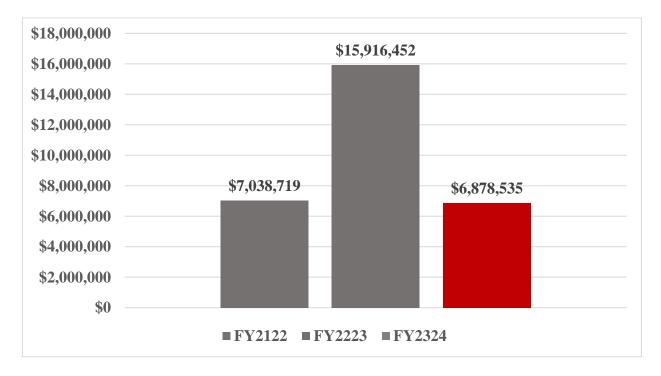


IUP received 53% of its award dollars from the federal government (\$6,878,535), with the U.S. Department of Education ranking as the top federal funder at \$1,705,678. The National Security Agency came in second at \$1,143,697. The federal and state agencies combined (\$11,374,867) account for 87% of IUP's externally sponsored funding in FY2324.

The top five agencies to fund IUP for FY2324 are:

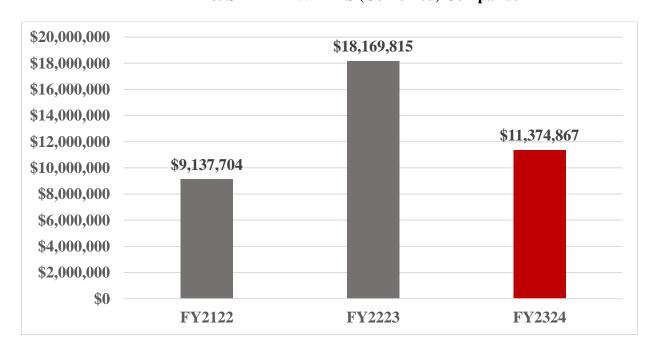
| Agency | Award |
|------------------------------|-------------|
| U.S. Department of Education | \$1,705,678 |
| National Security Agency | \$1,143,697 |
| U.S. Department of Justice | \$ 991,964 |
| U.S. Department of Labor | \$ 668,000 |
| U.S. Department of Defense | \$ 592,051 |
| | |

FEDERAL AWARDS



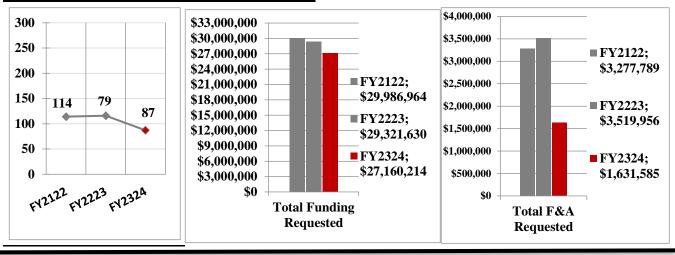
Fourteen (14) unique researchers received 20 federal awards from 15 different federal agencies for a total of \$6,878,535 in FY2324.

FEDERAL & STATE AWARDS (Combined) Comparison

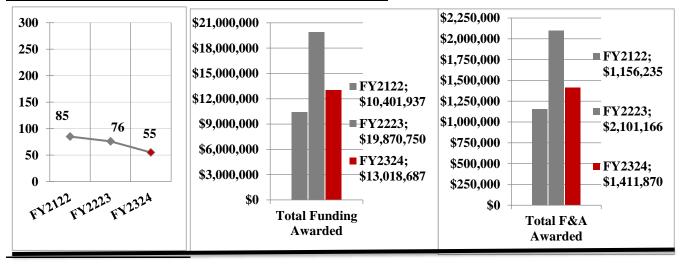


IUP FY Comparisons Q4 (July 1 – July 31)

PROPOSALS SUBMITTED by deadline date



AWARDS REC'D by project start date; obligated value



*2223 includes \$4.9 Farag DoD award (highest award 2223)

IUP's Million Dollar Club for Fiscal Year 2023-2024

| Kopchick College of Natural Sciences & Mathematics | \$ 6,167,757 |
|---|--------------|
| Institute for Cybersecurity | \$ 2,315,325 |
| College of Osteopathic Medicine | \$ 2,000,000 |
| Department of Biology | \$ 1,786,623 |
| College of Health and Human Services | \$ 1,645,424 |
| College of Education and Communication | \$ 1,606,757 |
| Center for Career and Technical Preparation | \$ 1,364,316 |
| Institute for Mine Mapping and Safety | \$ 1,049,319 |

Eight (8) units on campus boast the honor of membership in "IUP's Million Dollar Club" for Fiscal Year 2023-2024.



Colleges, Departments, Divisions, and Centers/Institutes are included individually in the "Million Dollar Club" to fully recognize all researchers contributing to the overall success of IUP's research portfolio. Therefore, for this specific chart, Departments and Centers & Institutes dollars are included in their respective college totals as well.

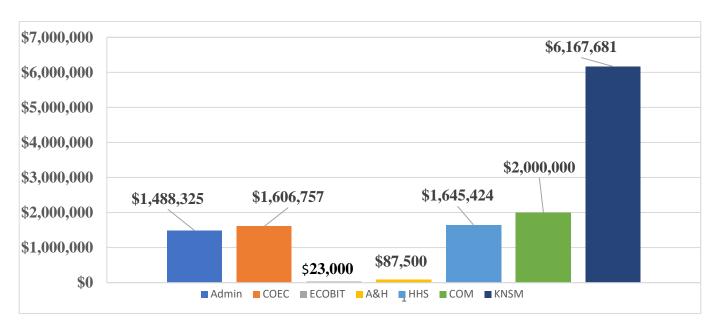


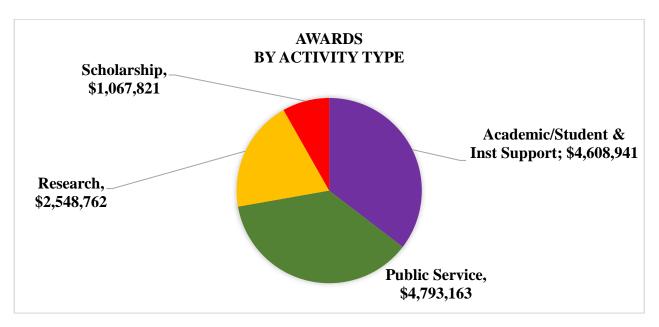
IUP's Top 10 Awards for Fiscal Year 2023-2024

| 1. Rose, Miko: College of Osteopathic Medicine Support | \$2 | ,000,000 |
|--|------------|----------|
| Sponsor: PA Department of Community & Economic Development | | |
| 2. Murdoch, Monica; Career & Technical Education | \$1 | ,264,316 |
| Sponsor: PA Department of Education | | |
| 3. Wilson, Robert; Mine Mapping and Safety | \$1 | ,094,394 |
| Sponsor: PA Department of Environmental Protection | | |
| 4. Farag, Waleed; An Innovative & Immersive Chinese Language Pilot | \$ | 996,350 |
| Sponsor: National Security Agency | | |
| 5. Orth, Robert; PCCD Support Services | \$ | 991,964 |
| Sponsor: PA Commission on Crime & Delinquency | | |
| 6. Mulroy, John; FY2324 OSHA Consultation Services | \$ | 668,000 |
| Sponsor: U.S. Department of Labor | | |
| 7. Farag, Waleed; Recruiting High Achiever Cybersecurity Students | \$ | 585,814 |
| Sponsor: U.S. Department of Defense | | |
| 8. Duchamp, Joseph; Allegheny Woodrat Population | \$ | 454,944 |
| Sponsor: PA Game Commission | | |
| 9. Racchini, Amber; Title III Strengthening Institutions | \$ | 441,362 |
| Sponsor: U.S Department of Education | | |
| 10. Racchini, Amber; ACT101 FY2324 | \$ | 425,400 |
| Sponsor: PA Higher Education Assistance Agency (PHEAA) | | |

CONGRATULATIONS TO IUP'S "TOP 10"!

Awards Academic Colleges/Divisions





Awards by Award Type

| <u>Contracts</u> | <u>\$2,332,581</u> |
|-------------------------------|--------------------|
| Cooperative Agreements | <u>\$1,810,596</u> |
| <u>Grants</u> | \$8,372,212 |
| <u>SubAwards</u> | <u>\$ 503,298</u> |

| Sponsored Awards by Originating Source | \$ Award |
|---|-------------|
| | Value |
| Agilent Technologies, Inc. | \$122,383 |
| American Bird Conservancy | \$310,576 |
| Appalachian Regional Commission | \$8,000 |
| Applied Archaeology and History Associates, Inc. | \$5,998 |
| Association for Iron and Steel Technology | \$20,000 |
| Association of Pennsylvania State College and University Faculties | \$1,500 |
| Center for Field Sciences | \$73,639 |
| Central Susquehanna Intermediate Unit #16 | \$2,000 |
| Community Foundation for the Alleghanies | \$11,000 |
| GenOn Northeast Management Company | \$3,840 |
| Health Resource Services Administration | \$171,961 |
| Indiana Area School District | \$92,443 |
| Indiana County Career Training Resources and Career Knowledge | \$250 |
| Indiana County Children and Youth Services | \$450 |
| Indiana County Tourist Bureau | \$6,053 |
| National Fish and Wildlife Foundation | \$192,894 |
| National Oceanic and Atmospheric Administration | \$9,618 |
| National Park Service | \$823 |
| National Science Foundation | \$241,967 |
| National Security Agency | \$1,143,697 |
| Pennsylvania Commission on Crime and Delinquency | \$56,000 |
| Pennsylvania Council on the Arts | \$87,500 |
| Pennsylvania Department of Community and Economic Development | \$2,000,000 |
| Pennsylvania Department of Education | \$250,998 |
| Pennsylvania Department of Environmental Protection | \$1,094,319 |
| Pennsylvania Department of Transportation | \$151,510 |
| Pennsylvania Game Commission | \$856,005 |
| Pennsylvania Higher Education Assistance Agency | \$425,400 |
| Pennsylvania State System of Higher Education | \$12,500 |
| Pennsylvania Training and Technical Network (PaTTAN) | \$60,000 |
| PNC Charitable Trust | \$15,000 |
| Rosebud Mining | \$34,962 |
| Secretaria De Investigacion, Innovacion y Educacion Superior De Yucatan | \$245,082 |
| The Defense POW/MIA Accounting Agency | \$255,640 |
| Tri-County Workforce Investment Board | \$200 |
| U.S. Department of Defense | \$592,051 |
| U.S. Department of Education | \$1,705,678 |
| U.S. Department of Justice | \$991,964 |
| U.S. Department of Labor | \$668,000 |
| U.S. Department of State, Bureau of Educational & Cultural Affairs | \$300,428 |

| U.S. Department of the Interior | \$397,411 |
|-----------------------------------|--------------|
| U.S. Department of Transportation | \$378,797 |
| U.S. Fish and Wildlife Service | \$12,500 |
| University of South Carolina | \$7,650 |
| GRAND TOTAL | \$13,018,687 |